THE COMPETITION TRIBUNAL

PERFORMANCE REPORT

1st APRIL 2016 - 31st MARCH 2017

QUARTER 1 and QUARTER 2

Reviewed for submission by:

Lerato Motaung (Registrar)

Signature: {

Date: 31 (10/2016

Reviewed and verified for approval by:

Janeen de Klerk (Chief Operating Officer)

Signature:

Date: 31/10/2016

Approved by

Norman Manoim (Chairperson)

Signature:

Date: 31/10/2016

STRATEGIC FOCUS AREA 1 - QUARTER 2 - 2016/2017

No reasons issued this quarter and target not met for year to dete	3	No reasons issued	3008	3	2004	80%	60%	% of intermediate merger reasons leased to parties within 20 business days of order being issued			
Target net met for quarier and year to date in one of two matters decided the order was issued 24 days out of time due to tack of capacity	50%	50%	99%	no orders insued	Nose	100%	95%	% of intermediate merger entires issued to parties within 10 business days of last hearing date		acopsed Save rranes	
Target met and exceeded for quarter and year to dete	ij	90%	77%	276	70%	7	70%	% of large merger research sessed to perios within 20 foxer-ses days of order bearty sessed	Expediture conclusion of	Improvement in the issuing of	TIMEDUS ISSUSVO OF
Target met and axceeded for quarter and year to date	100%	1001	25%	100%	5	100%	85%	% of large merger orders seased to parties within 10 customes days of last hearing date			
Target met for the quarter but not for the year to dete	9	100%	79%	50%	¥	3	79.	is of intermediate mergers to se act down for the beginning of a hearing or a pre-hearing white 10 business days of the merg of the merger reterral	THE SHIP CHARLES	addigmid dallvery time frames	EFFECENS
Terget exceeded for quarter and year to date	9	7	75%	3	76%	j	70%	w of large mergers to be set down for the beginning of a maning or a pre-hearing within 10 business days of the Sing of the merger referral	havings are set down	Mattern brought before the Tribured for French vittins the	CASE NAVAGEMENT
	AEM IO D'IE	02	02	0.	10	PERFORMANCE					
EXPLAZATIONS FOR DEVIATIONS	ALLUAL PERFORMACE	PERSONICATION	TARGET	FERFORMANCE	TARGET	PRIOR YEAR	Administ Tarrige I	PERFORMACE	BHODING	STRATEGIC OBJECTIVE	STRATEGIC
	TE INTEGRAL	I O BROUNE EFFECTIVE AND EFFICENT ADJUDICATION ON BALLENS BROUND BEFORE THE INBURNE	I MAI LAME	ROJODICACIOCA	AND EFFICENT		-				STRAIT GIC OUTCOME
											GOAL STATEMENT
	H 10 S77,700 47	R 5 (4)7 891 59		R 4 962 817 88		R 10 577 709 47	SECTION EXPENSIONS				
Endryck chicapa filenba popula točana	B 11 473 672 52	R 4.508 978 26		R 6 96 + 69 + 26		R 23 490 930 03	QURRENT BUDGET	000			
reason for deviations	MAN OF SAME	CUARTER?		CUARTER 1				ELLENCE	AD LIDICATIVE EXCELLENCE	S AREA 1.	STRATEGIC FOCUS AREA 1.

APPLICATIONS facility and horizonally of business applications.				JUGGENEETS adopted time frames	AND THE RESERVE			STRATEGIC STRATEGIC OBJECTIVE STATEMENT	STRATEGIC OUTCOME	DOAL STATEMENT			STRATEGIC FOCUS AREA 1:
strategic decision making and access to historical dat	morangement morangement			francos				оитсом					ADJUDICATIVE EXCELLENCE
Reduced relance on manual performance reporting by 2020 economics by 2020	Unhancement of case management system facility in sine with project plan	n interim rated research tessed to parties within 20 business days of last hearing date	% consent orders issued to parties within 10 business days of last hearing date	of projective imater orders and to personal do muser (20 muses days of lest harder) and the control of the cont		Pleasers for prohibited practice cases issued to parties in accordance with delivery drawbarrase per category. A B or C		PERFORMANCE PURCATORS					ELLENCE
20% of agreed plan implemented by March 2017	Feesibility study of automation opportunities completed by December 2016	100%	80%	200	C- 150 business days	B - 125 business days	A- 100 business days	Attende FARGET	ī		ACTUAL EXPT-BUTURE	CURRENT BUDGLT	
No formal plan in place but manual relance being reduced	Phase 2 fully implemented and operative by March 2016	No reasons issued	90%	77%	100%	No resears lessed	No resears issued	PRIOR YEAR ANNUAL PERFORMANCE	ENGOVE BLUE	enembe eecentio	R 10 571 709 47	IR 23 490 930 03	
Accused not quarterly target	Armal rol quarterly target	100%	306	Not	C- 150 business days	ulap eeeumo 925 - G.	A - 900 businees days	TARGET	E ANNO E PROPERTOR	AND EESTOCKT			
We continue to work with our reports to refine them and baselone reduce or returned resource or resource from the resource of resource or	Focusing on ensuring current CAMS remains state while we consider migrating to a new system.	No reasons insued	100%	39%	3	No reasons lessed	No reasons insued	PERFORMANCE O1	T COUNTY OF THE PERSON OF THE		R 4 969 817 88	FI 6 96 ± 69 ± 26	CUARTER 1
Annual red to state of	Annual rot quarterly larget	1001	90%	909	C-150 buennose days	B - 125 businsee	A - 100 business days	TARGET	200	THE THE PARTY OF T			
Electronic data being system and continued at the ways of the continued at	Prepaing documents to be distributed to service providers to bid for sessing the Tribunal through a migration phase	940	160%	N.	No researe insued	3	No reasons leaved	PERFORMANCE	I DETABLISE ET FERTINE MANDET FIRETTI ABBUDINATION DIT INNT I EN SERVUGITI DEFORE THE INTEGRANDIA	T DECEMBE TO SIGN	R 5 607,891 59	R 4,508,978.26	CUARTER 2
Focusing on incressed relance on system as opposed to missual report development	Continue to ensure system remains stable while we migrate to new activetre migrate to new activetre	*50	100%	970	100%	76	No resears insued	AM DALPERFORMANCE YEAR ODATE	TE I NIBUIAL		H 10.577.709.47	R 11 473,672 52	YEAR TO DATE
No formal plan in place but we continue to reduce reliance on manual processes for determining performance against processers for determining performance against processers.	Focusing on crearing CMS remains stable white we develop process to may exist the process to a new system.	Target not met for quarter and year to dete Ressons were only issued in one mader and they were issued 2 days late	Target met and exceeded for querter and year to date	Target not met for quarter and year to date 14 out of 15 decisions issued were late. The shortest period out of time being 1 day and the forgest being 82 days. 50% of the reasons are late by less than 10 days. 50% of the reasons are late by less than 10 days. 50% of the reasons are more than 20 days overtice while the remaining 14% see more than 50 days overtice while the remaining 14% see more than 50 days overtice. The delay in the issuing of reasons is a result of the 500 day another amongst Tribunal members find late time to thous on obscision writing as they are required to at on numerous panels.	No reasons leaved this quarter and target met for year to dete	Target not met for quarter and year to date Reasons were issued in one matter and they were issued 79 days out of the telephation of a case manager and the fact of capacity amongst Indunal members caused this delay.	No ressons issued this quarter and year to date	EMPLANATIONS FOR DEMATIONS				Budget dr. kted equally across 4 quarters	REASON FOR DE MATIONS

STRATEGIC FOCUS AREA 2 - QUARTER 2 - 2016/2017

DELIVERY STAKEHOLDER			HANTAN AND ENNANCE THE PRESENCE AND PROFILE OF THE TRIBUNAL			STANDHOLDERS	ENSURE RELEVANT		STRATEGIC OBJECTIVE	STRATEGIC OUTCOME		The Particular	STRATEGIC FOCUS AREA 1:
Identify and address stateholder needs and expediations in order to meet or exceed requirements.			Ensure communication pertaining to final decisions in mergers and prohibled practice cases are made public within adopted delivery time-times.			and implemented	Ensure that an integrated		STRATEGO BJECTNE				A 1:
Level of stakeholder satisfaction			Timely and compilent communication of adjustication outcomes			enhance everywees of the work of the Tribunel	A structured and focussed process to create and		OUTCOME		100 000		STAKEHOLDER RELATIONSHIPS
Personal and implemented advantage against distribution our vey readily	Namber of Tribunal Tribune distributed according to agreed distribution list	Number of Tribunal Tribune published ennually	" of non confidential version (now) of reasons posted on wholes within 2 business days of issue date of nov	Press releases of prohibited practice decisions communicated within 2 warness days of order date	Typress releases of final member decisions communicated within 2 business days of order date	Monthered performance and experimental against experimental plan	Communication Plan reviewed and changes implemented in the with EXCO requirements and agreed timeframes:	i dice de la constante de la c	PERFORMANCE			46 12 124E	ATIONSHIPS
No survey scheduled this year	Remove by EXCO in order to develop electronic nevel-titer	Remove by EXCO in order to develop electronic newsletter	75%	19901	75%	ruport on Implementation against plan by March 2017	emplement plan against agreed track areas by March 2017		ANNUAL TARGET		ACTUAL EXPENDITURE	CURRENT BUDGET	
Plan deleyed due to tate appointment of communications officer	8	3.00	3	100%	82%	New target in 2018/2017	Implement plan against agreed itmetrames	PERFORMANCE	PRIOR YEAR	TO BUILD AND DE	R 495.073.83	R 1 024 228 53	180
Ne survey scheduled this year	Removed by EXCO in order to develop electronic newstarter	Removed by EXCO in order to develop obschoolic newsletter	75%	1001	75%	Armusi and quantiety larget	Account not quarterly target	91	TARGET	· <	1		
the survey	Developing specifications for e- bulletin on our website	Developing specimens for e- bulletin on our website	dens	50%	100%	Ouertesty report produced to COO on progress and where recovery preferched in dashboard report	Progress being made with regard to implementation of agreed projects	Q1	PERFORMANCE	ELOP EFFECTIVE STAKEHOLDER RELATIONSHIPS	R 243 584 45	R 256 054 18	QUARTER 1
No survey goleduled this year	Ramoved by EXCO in order to develop electronic neweletter	Removed by EXCO is order to develop electronic reposletter	75%	100%	75%	Annual not quarterly larget	Annual not quariety larget	20	TARGET	ER RELATIONS	Ī		
No survey achecided our year	In the process of obtaining service provider to assist with the development of an e-bulletin on our website	in the process of obtaining service provider to assist with the development of an e-bulletin on our website	76%	100%	97	Quarterly report provided to COO on progress and where necessary reflected in deshboard report	Progress being made with regard to implementation of agreed projects	92	PERFORMANCE	HIPS	R 251,489 38	R 256,058 19	QUARTER 2
No savey scheduled this year	Developing specifications for e-builden on our website	Developing specifications for e-bulletin on our website	81%	9	98%	Quarterly report provided to COO on progress and where necessary reflected in dashboard report	Progress being made with regard to implementation of agreed projects	YEAR TO DATE	ATTIVAL PERFORMANCE		R 495 073 83	R 512 112 77	YEAR TO DATE
He survey achecular for this year	No tarpets set - we hope to have e-busielin on website by end March 2017	No targets set - we hope to have e-bulletin on website by end March 2017	Target met and exceed for quarter and year to date	Target met and exceeded for quarter but not year to dete	Target met and exceeded for quarter and year to date.	Plan being finalesed and projects in line with plan being implemented.	Plan being finalised and projects in Sne with plan being implemented		EXPLANATIONS FOR DECIATIONS	THE PARTY		Budget aimided equally across # quarters	REASON FOR DETATIONS

STRATEGIC FOCUS AREA 3 - QUARTER 2 - 2016/2017

STRATEGIC FOCUS AREA 1:	EA 1:	ACCOUNTABLE TRA	ACCOUNTABLE TRANSPARENT AND SUSTAINABLE ENTITY	NABLE ENTITY			COARTERI		DUARTER 2	YEAR TO BATE	RE SOUPOR DEVIATIONS
The second			1	CURRENT BUDGET	R 6 593,100 48		R11428-511.12		R 2 201 494 12	R 3 630 105 24	fludget drukkel equally actoss 2 quariets
				Actival superiority	R 3 286 370 44		R198732377		R 1 599 045 E7	R 3 286 370 44	
GOAL STATEMENT	T POST		TO ENSURE THE TRIBU	TO ENSURE THE TRIBUNAL HAS EFFECTIVE STRATEGIC LEADERSHIP, ADMINISTRATION AND MANAGEMENT THROUGH ADHERENCE TO GOOD GOVERNANCE AND SOUND BUSINESS PRACTICE)IC LEADERSHIP, ADN	NNISTRATION AN	D MANAGEMENT THRO	UGH ADHEREN	3A05 G005 O1 336	RNANCE AND SOUND	BUSINESS PRACTICE.
STRATEGIC OUTCOME										ANNAT	
STRATEGIC OBJECTIVE	STRATEGO OBJECTAT STATEMENT	OUTCOME	PERFORMATICE	ANDUAL TARGET	PR R YEAR ALYUAL PERFORMAL E	1 Spiller	Table Control State	- Benow	To the second second	PERFORMANCE	EXPLAUATIONS FOR DEVIATIONS
						10	5	02	2	YEAR TO DATE	
GOVERNAMOE	increase the layel of compliance with the prescripts of good:	Accountable and Immeparent Public Entry	Authors an unqualitied Audit Outcome year on year	Urqualitud sudd – no leaves of governments raised	Final report - no issues of governance raised	Annual target set for second quarter	Draft management report for 2015/2016 - no leaves of governance raised	Inqualited sucti- no leases of povernance raised	Final management report for 2015/2016 - no hauses of governance raised	Final management report for 2015/2016 - no leaves of governance raised) applicat
STRUCTURES	Maintain effective overeight eliveriums that promote solid business practice	Sound Business Practice	Authorie en unquaktied Audit Outcome year on year	Lingualities audit — no lesues of governance raised	Final report - no issues of governance raised	Aircust target set for second quarter	Draft management report for 2015/2016 - no issues of governance raised	Unqualitied audit - no issues of povernance raised	Find management sport for 2015/2016 - no hause of governance nated	Final management report for 2015/2016 - no leaues of governance raised	Tanged treet
EFFECTIVE MANAGEMENT OF	Evenue Premotel management that promises effective and efficient use of resources	Optimal francisi resource allocation and utilisation	Adhieve an unqualited Audit Outcome year on year	tingualined audi-no findings of ficilities / headchild expenditure	Final report - has fruitees and wadshi expenditure	Annual taigel est to second quarter	Fruitess and westerkal expendature disclosed in draft AFS for 2015/2016	Linquished sudit no seuse of fulface and westers and superiodrure	Fuctions and westerful expondatus discharged in- fernal AFS for 2015/2016	Frudees and weekeld expertiture disclosed in draft AFS for 2015/2016	Target not met : The Tribunal had is pary penalties to SARS in line with a VIDP application. Controls have been implemented to prevent this going forward.
		Compliance to requirements	No material mustatements for May submission	No material mindatement on May submission	Final report - no material missistements	Armust target set for second quarter	Draft management report to 2015/2016 - no manual mandatements	No material magnitude and advantage in three magnitudes and an armony magnitude and an armony magnitude and armony magnitude armony magnitude armony magnitude armony magnitude a	Final management appet for 2016/2016 - material manetalements	Final management report or 2015/2018 - no material misetatoments	Target med
PHANCIAL OOVERNANCE AND	Ensure a sound control environment and monaor	transparent institution	Submission against annual deadine	Annual reporting submission dates	AR submitted within required timetramos	pleus eyep unmerunning Arry	May date met - July still due	only submission date met	May date mot - July date met	May date met - July date met	Target med
		integrated raik management processes and combined assurance	Achieve an unqualified Audit Outcome year on year	Unqualified audit – no leaues of risk	Final report - no risk management issues raised	Annual target set for second quarks:	Draft management report for 2015/2016 - no risk	Unqualified audit - no real management management	First menagement report for 2015/2016 - m risk menagement issues raised	Final management report for 2015/2016 - no nek management leaves raised	Target med
SUBTANIABLE CAPACITY	eigond kipper a manny de signad kipper a manny de signad de signada de s	designan the Triburation organizational capacity and surformance to defined on the	emplementation of Case Management Graduate electrotype against plan	Conductor Interneting Implemented	Policy in final draft and 2 interns employed	speciment specimens disperse	Implemented and currently 21.7 Interns employed	Graduate retemblip targets meet plan targuitements	implemented and currently 2 LT interne employed	Implemented and currently 2 LT interna employed	Taggit met